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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In U.S. Application of: Isamu TERASAKA, ET AL.

Filed: March 20, 2001

Serial No.: 09/812,726

For: RECORDING MEDIUM HAVING ENCRYPTED SOUND DATA RECORDED...

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated May 18, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Check in the amount of \$ 840.00; covering the basic filing fee of \$710.00; additional claim fee \$ 0.00; extension fee \$ 0.00 and surcharge of \$130.00;
- ☒ 3. Certified copy of Priority Document no. 2000-080304 filed March 22, 2000;
- ☒ 4. A Substitute Specification in compliance with 37CFR 1.52;
- ☒ 5. Substitute drawings in compliance with 37CFR 1.84;
- ☒ 6. Return post card;
- ☒ 7. Notice to File Missing Parts of Application;

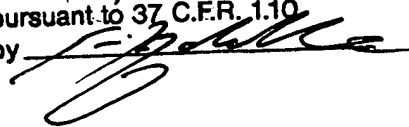
Any fee due with this paper, not fully covered by an enclosed check, may be charged to Deposit Acct. No. 08-1634.

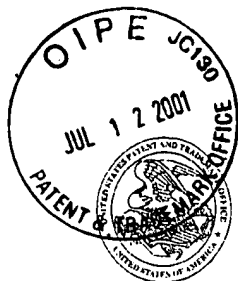
Any fee due with this paper, not fully served by an enclosed check, may be charged on deposit Acct. No. 08-1634

Respectfully submitted,

  
[X] Samson Helfgott  
Reg. No.: 23,072

HELFGOTT & KARAS, P.C.  
60th Floor, Empire State Building  
New York, New York 10118  
TELEPHONE: (212) 643-5000  
JULY 11, 2001  
Docket No.: SCEI 18.497  
ejh:Mispart.

Filed by Express Mail  
(Receipt No. EL639691643U)  
on July 11, 2001  
pursuant to 37 C.F.R. 1.10  
by 



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,726	03/20/2001	Isamu Terasaka	SCET 18.497

CONFIRMATION NO. 2601

## FORMALITIES LETTER



\*OC000000006092254\*

026304  
HELFGOTT & KARAS, P.C.  
EMPIRE STATE BUILDING  
60TH FLOOR  
NEW YORK, NY 10118

Date Mailed: 05/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily

07/13/2001 RHARTIS1 00000101 09812726  
01 FC:101 710.00 OP  
02 FC:105 130.00 OP

legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages **Specification** are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*

*Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.*

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice **MUST** be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE